PATHWAYS



Social disadvantage and infant mortality:

the birth weight paradox revisited

Bianca De Stavola

with Rhian Daniel, Richard Silverwood, Rachel Stuchbury, Emily Grundy

UK Census-based Longitudinal Studies Meeting · 31 March 2015

Website Email Twitter http://pathways.lshtm.ac.uk pathways@lshtm.ac.uk @pathwaysNCRM







- Infant mortality is strongly patterned by socio-economic conditions, even in developed countries (Melve et al. 2003).





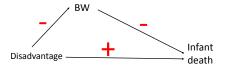


- Infant mortality is strongly patterned by socio-economic conditions, even in developed countries (Melve et al. 2003).
- It is also strongly and negatively related to birth weight (BW), with the gradient seen even in babies born at term (Wilcox, 2001).





- Infant mortality is strongly patterned by socio-economic conditions, even in developed countries (Melve et al. 2003).
- It is also strongly and negatively related to birth weight (BW), with the gradient seen even in babies born at term (Wilcox, 2001).
- BW is related to socioeconomic circumstances, with poverty consistently associated with low birth weight (Paneth, 1995).



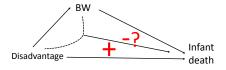
- Infant mortality is strongly patterned by socio-economic conditions, even in developed countries (Melve et al. 2003).
- It is also strongly and negatively related to birth weight (BW), with the gradient seen even in babies born at term (Wilcox, 2001).
- BW is related to socioeconomic circumstances, with poverty consistently associated with low birth weight (Paneth, 1995).
- However the full extent of the role of BW is unclear:





- Infant mortality is strongly patterned by socio-economic conditions, even in developed countries (Melve et al. 2003).
- It is also strongly and negatively related to birth weight (BW), with the gradient seen even in babies born at term (Wilcox, 2001).
- BW is related to socioeconomic circumstances, with poverty consistently associated with low birth weight (Paneth, 1995).
- However the full extent of the role of BW is unclear:

apparent reversal of effect of disadvantage with decreasing BW (the low BW paradox).





- Infant mortality is strongly patterned by socio-economic conditions, even in developed countries (Melve et al. 2003).
- It is also strongly and negatively related to birth weight (BW), with the gradient seen even in babies born at term (Wilcox, 2001).
- BW is related to socioeconomic circumstances, with poverty consistently associated with low birth weight (Paneth, 1995).
- However the full extent of the role of BW is unclear:

apparent reversal of effect of disadvantage with decreasing BW (the low BW paradox).

Aim of the talk

Study the mediating role of BW using 30 years data from ONS LS.



- 1 Background
- 2 Methods
- Results
- 4 Conclusions

- 1 Background
- 2 Methods
- 3 Results
- 4 Conclusions



■ First observed with regards to smoking: low BW babies born to smokers have lower mortality than those of non-smokers.

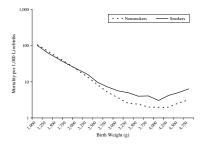


Figure: Birth-weight-specific infant mortality curves, US, 1991

Also found for other high-risk populations (e.g. defined by social class, ethnicity, region) (Yerushalmy (1964, 1971), Hernandez-Diaz (2006)).





■ First observed with regards to smoking: low BW babies born to smokers have lower mortality than those of non-smokers.

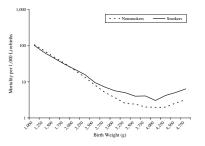


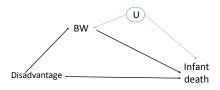
Figure: Birth-weight-specific infant mortality curves, US, 1991

■ Also found for other high-risk populations (*e.g.* defined by social class, ethnicity, region) (Yerushalmy (1964, 1971), Hernandez-Diaz (2006)).



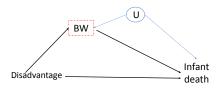


- There are unmeasured confounders U between BW and Infant death.



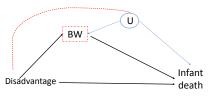


- There are unmeasured confounders U between BW and Infant death.
- Comparing rates by disadvantage at given values of BW ...



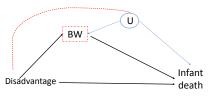


- There are unmeasured confounders U between BW and Infant death.
- Comparing rates by disadvantage at given values of BW ... opens up a spurious path from disadvantage to death.



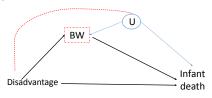


- There are unmeasured confounders U between BW and Infant death.
- Comparing rates by disadvantage at given values of BW . . . opens up a spurious path from disadvantage to death. "Low BW may occur because of disadvantage or U (or both): knowing the disadvantage status of a baby is informative of his/her U, hence the induced association."

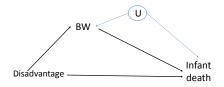




- There are unmeasured confounders U between BW and Infant death.
- Comparing rates by disadvantage at given values of BW ... opens up a spurious path from disadvantage to death. "Low BW may occur because of disadvantage or U (or both): knowing the disadvantage status of a baby is informative of his/her U, hence the induced association."
- Reasonable values of U → BW and U → death sufficient to explain this paradox.



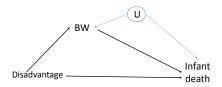




- studying the mediating role of BW will be biased because analysis will be affected by unmeasured confounders.

Implication for studying BW as a mediator

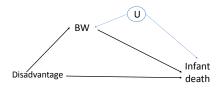




- studying the mediating role of BW will be biased because analysis will be affected by unmeasured confounders.
- To proceed we need to address this problem. Options:

Implication for studying BW as a mediator

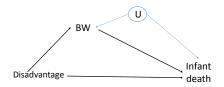




- studying the mediating role of BW will be biased because analysis will be affected by unmeasured confounders.
- To proceed we need to address this problem. Options:
 - Sensitivity analyses

Implication for studying BW as a mediator





- studying the mediating role of BW will be biased because analysis will be affected by unmeasured confounders.
- To proceed we need to address this problem. Options:
 - Sensitivity analyses
 - Identify (at least some of) the components of U.



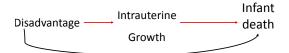
- 2 Methods
- 3 Results
- 4 Conclusions

- BW is a crude measure: it is only a proxy for intrauterine growth.



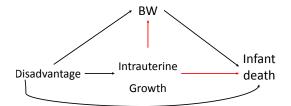
An informed approach to unmeasured confounding

- BW is a crude measure: it is only a proxy for intrauterine growth.
- Intrauterine growth likely to lie on pathways from Disadvantage to Infant death



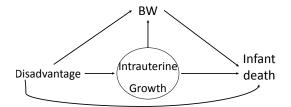


- BW is a crude measure: it is only a proxy for intrauterine growth.
- Intrauterine growth likely to lie on pathways from Disadvantage to Infant death
- and hence to confound the BW to Infant death relationship.



An informed approach to unmeasured confounding

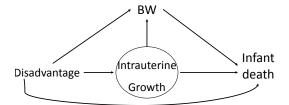
- BW is a crude measure: it is only a proxy for intrauterine growth.
- Intrauterine growth likely to lie on pathways from Disadvantage to Infant death
- and hence to confound the BW to Infant death relationship.
- Data on intrauterine growth not generally available.



An informed approach to unmeasured confounding



- BW is a crude measure: it is only a proxy for intrauterine growth.
- Intrauterine growth likely to lie on pathways from Disadvantage to Infant death
- and hence to confound the BW to Infant death relationship.
- Data on intrauterine growth not generally available.
- If diagram is correct, intrauterine growth plays role of U.

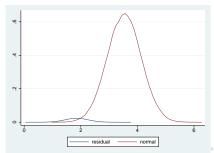


Retrieving data on *U*

- We can draw on external information and assumptions to retrieve data on intrauterine growth.

Retrieving data on *U*

- We can draw on external information and assumptions to retrieve data on intrauterine growth.
- Wilcox suggested that there are two sub-populations of newborns:
 - (a) predominant: mostly term babies
 - (b) residual: contributing to the low-end tail.

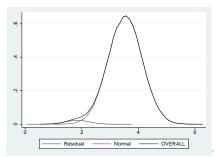




- We can draw on external information and assumptions to
- Wilcox suggested that there are two sub-populations of newborns:
 - (a) predominant: mostly term babies

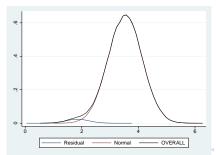
retrieve data on intrauterine growth.

- (b) residual: contributing to the low-end tail.



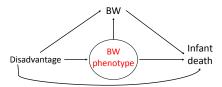


- We can draw on external information and assumptions to retrieve data on intrauterine growth.
- Wilcox suggested that there are two sub-populations of newborns:
 - (a) predominant: mostly term babies
 - (b) residual: contributing to the low-end tail.
- We can draw inspiration from this model.



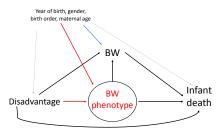
Assume:

- there are a number of latent BW phenotypes (classes)
- BW for each class is normal



Assume:

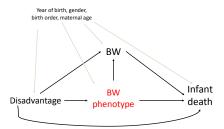
- there are a number of latent BW phenotypes (classes)
- BW for each class is normal
- there are measured predictors for these distributions (in blue) and for the Prob(Class) (in red)





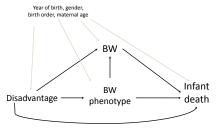
Assume:

- there are a number of latent BW phenotypes (classes)
- BW for each class is normal
- there are measured predictors for these distributions (in blue) and for the Prob(Class) (in red)
- Then we can use Latent Class Modelling to impute the missing value of BW phenotype.



Mediation Analysis

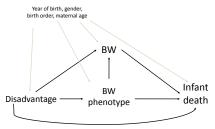
Given the model,





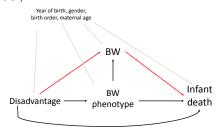
Mediation Analysis

Given the model,

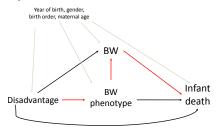


- aim to quantify the effect of Disadvantage that is mediated by BW

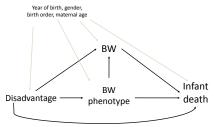
Given the model,



- aim to quantify the effect of Disadvantage that is mediated by BW : (Dis→BW→Death)

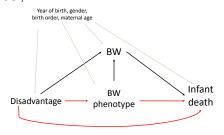


- aim to quantify the effect of Disadvantage that is mediated by BW : (Dis \rightarrow BW \rightarrow Death) and (Dis \rightarrow Phenotype \rightarrow BW \rightarrow Death).



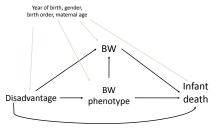
- aim to quantify the effect of Disadvantage that is mediated by BW
- and the effect that is not mediated





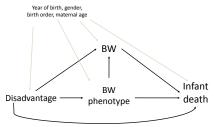
- aim to quantify the effect of Disadvantage that is mediated by BW
- and the effect that is not mediated: (Dis → Phenotype → Death)





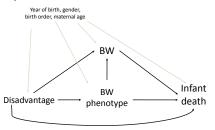
- aim to quantify the effect of Disadvantage that is mediated by BW
- and the effect that is not mediated: (Dis→ Phenotype→Death) and (Dis→Death).





- aim to quantify the effect of Disadvantage that is mediated by BW
- and the effect that is not mediated





m to avantify the effect of Disadvantage that is modiated by We estimate them as Natural Direct (NDE) and Natural Indirect Effects (NIE)^a (on the OR scale), using Monte Carlo G-computation with bootstrapped SEs (to account for the imputation step; Daniel et al., 2011).



^aMore precisely,randomized interventional analogies of NDE and NIE (VanderWeele et al. (2014)).

- 2 Methods
- Results
- 4 Conclusions



- Record linkage study set up in 1974 (see http://www.ucl.ac.uk/celsius/).
- Comprises linked census and event (and thus infant mortality records for 1% of the population of England and Wales (about 500,000 people at any one census).
- Includes BW of babies born to LS mothers (recorded at registration).
- Several indicator of social disadvantage
- Restrictions:
 - singleton births to white mothers (~85%)
 - births from 1981 to ensure coverage

The study population

- 168,472 singleton live births in 1981-2012.

- 168,472 singleton live births in 1981-2012.
- **■** E:
 - 38% of mothers with fewer that 5 O-levels
 - 41% of parents in manual occupation

The study population

- 168,472 singleton live births in 1981-2012.
- **■** E:
 - 38% of mothers with fewer that 5 O-levels
 - 41% of parents in manual occupation
- \blacksquare M: 5.4% with birth weight<2.5kg.

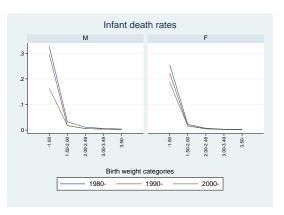


The study population

- 168,472 singleton live births in 1981-2012.
- **■** E:
 - 38% of mothers with fewer that 5 O-levels
 - 41% of parents in manual occupation
- \blacksquare M: 5.4% with birth weight<2.5kg.
- **Y**: 0.58% (973) infant deaths.



Mortality rates vary greatly by BW, moderately by sex, improving with calendar time:

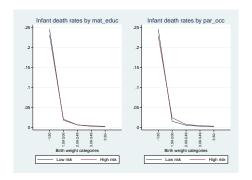


Source: F&W ONS LS





Apparent reversal of effect at the lower end of BW:

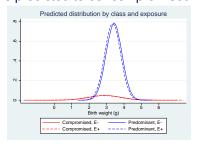


OR		0.90	1.08	0.97	1.48	1.51	0.65	1.12	1.34	1.08	1.38
p-v	alue			0.03					0.01		

Source: E&W ONS LS



About 11% of births predicted to be "compromised".



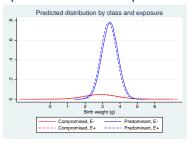
- Mean BW: 3.43 (SD=0.45) and 2.92 (SD=0.92)
- OR of being in compromised class is 1.36 (1.25, 1.47) when exposed to low maternal education





Predicted latent class distributions

About 11% of births predicted to be "compromised".



- Mean BW: 3.43 (SD=0.45) and 2.92 (SD=0.92)
- OR of being in compromised class is 1.36 (1.25, 1.47) when exposed to low maternal education.
- Shifted by -0.10 (-0.15, -0.06) and -0.08 (-0.08, -0.07) when exposed.

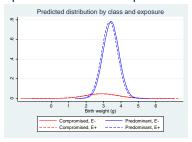




Predicted latent class distributions



About 11% of births predicted to be "compromised".



- Mean BW: 3.43 (SD=0.45) and 2.92 (SD=0.92)
- OR of being in compromised class is 1.36 (1.25, 1.47) when exposed to low maternal education

Similar results when exposed to parental manual occupation.



Mediated effects



Ma	at education	on	Par occupation			
In OR	(95% CI)	%	In OR	(95% CI)	%	

Controlling for latent class:

TCE	1.43	(1.30, 1.63)	100.0	1.55	(1.48,1.77)	100.0
		(0.93, 1.21) (1.08, 1.52)				

Not controlling for latent class:

NDE	1.21	(1.05, 1.34)	55.4	1.35	(1.25, 1.49)	67.8
NIE	1.15	(1.14, 1.17)	43.6	1.15	(1.15, 1.16)	32.2

Source: E&W ONS LS



- 2 Methods
- 3 Results
- 4 Conclusions

- Attempted to identify some of the unmeasured confounders that may explain the birth weight paradox.

- Attempted to identify some of the unmeasured confounders that may explain the birth weight paradox.
- Results depends on strong and partly unverifiable assumptions for:
 - the representation of the underlying biological process via a latent variable
 - for the partitioning of direct and indirect effects.

- Attempted to identify some of the unmeasured confounders that may explain the birth weight paradox.
- Results depends on strong and partly unverifiable assumptions for:
 - the representation of the underlying biological process via a latent variable
 - for the partitioning of direct and indirect effects.
- However, if correct, they should not suffer from confounding bias to the same extent as more traditional analyses.

- Attempted to identify some of the unmeasured confounders that may explain the birth weight paradox.
- Results depends on strong and partly unverifiable assumptions for:
 - the representation of the underlying biological process via a latent variable
 - for the partitioning of direct and indirect effects.
- However, if correct, they should not suffer from confounding bias to the same extent as more traditional analyses.
- Data on other components of *U* (e.g. birth complications) would be required to fully control for confounding.

Acknowledgements



This work is supported by the ESRC Pathways Node (Award ES/1025561/2) of the National Centre for Research Methodology.

The permission of the Office for National Statistics to use the Longitudinal Study is gratefully acknowledged, as is the help provided by staff of CeLSIUS.

CeLSIUS is supported by the ESRC Census of Population Programme (Award Ref: ES/K000365/1).

The authors alone are responsible for the interpretation of the data.

Census output is Crown copyright and is reproduced with the permission of the Controller of HMSO and the Queen's Printer for Scotland.



- Basso O. Wilcox AJ. Weimberg CR. Birth Weight and Mortality: Causality or Confounding? AJE 2006:164:303-311.
- Basso O, Wilcox AJ, Intersecting Birth Weight-specific Mortality Curves: Solving the Riddle, AJE 2009;169:787-797
- Daniel RM, De Stavola BL, Cousens SN. gformula: Estimating causal effects in the presence of time-varying confounding or mediation using the q-computation formula. Stata J. 2011;11(4):479-517.
- Hernandez-Diaz S, Schisterman EF, Hernan MA. The birth weight "paradox" uncovered? AJE 2006;164(11):1115-2.
- Kramer MS, Zhang X, Platt RW. Analysing risks in adverse pregnancy outcomes. AJE 2014;179(3): 361-367.
- Melve KK, Skjaerven R. Birthweight and perinatal mortality: paradoxes, social class, and sibling dependencies. International Journal of Epidemiology 2003 Aug;32(4):625-32.
- Paneth, NS. The Problem of Low Birth Weight. The Future of Children 1995;5(1):19-34.
- Petersen ML, Sinisi SE, van der Laan MJ. Estimation of direct causal effects. Epidemiology. 2006;17(3):276-284.
- Robins JM, Greenland S. Identifiability and exchangeability for direct and indirect effects. Epidemiology. 1992;3(2):143-155.
- VanderWeele T, Vansteelandt S, Robins JM.Effect decomposition in the presence of an exposure-induced mediator-outcome confounder. Epidemiology 2014; 25(2):300-306.
- Yerushalmy, J. Mother's cigarette smoking and survival of infant. AJOG 1964;88:505-518.
- Wilcox AJ, Russell I.Birthweight and perinatal mortality standardizing for birthweight is biased. AJE 1983; 118 (6):857-864.
- Wilcox AJ. On the importance and the unimportance of birth weight. International Journal of Epidemiology. 2001 Dec;30(6):1233-41.
- Yerushalmy, J. The relationship of parents cigarette smoking to outcome of pregnancy. Implications as to problem of infering causation from observed associations. AJE 1971:93(6):443-456.





ORs by socio-economic disadvantage and BW Effect modification by BW

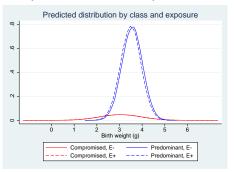


BW (in kg)	N	1 educ	c P occup		
	OR	(95% CI)	OR	(95% CI)	
Overall	1.32	(1.14, 1.51)	1.55	(1.36, 1.77)	
p-value					
significance	<	<0.01	< 0.001		
<1.5	0.90	(0.69, 1.18)	0.65	(0.43, 0.98)	
1.5-2.00	1.08	(0.77, 1.52)	1.12	(0.71, 1.78)	
2.0-2.49	0.97	(0.70, 1.33)	1.34	(0.98, 1.85)	
3.0-3.49	1.48	(1.09, 1.99)	1.08	(0.71, 1.65)	
≥3.5	1.51	(1.06, 2.15)	1.38	(0.86, 2.20)	
p-value					
Interaction		0.03	0.01		



Average predicted latent class distributions By parental occupation

About 11% of births predicted to be "compromised".



- similar BW. SD and shift.
- OR of being in compromised class is 1.40 (1.28, 1.53) when exposed.

